Attorney Docket No. 01711/LH

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Shigenori MORIKAWA

Serial No. : 09/991,774

Filed : November 5, 2001

For : DATA COMMUNICATION TERMINAL THAT WARMS A USER WHEN A

DATA TRANSMISSION AMOUNT

LIMIT IS REACHED

Art Unit : 2667 Conf. No. : 5900

Examiner : Blanche Wong

INFORMATION DISCLOSURE STATEMENT WITH STATEMENT UNDER 37 CFR 1.97(e) AND STATEMENT UNDER 37 CFR 1.704(d)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SIR:

Submitted herewith are the following:

- Copy of a Japanese Office Action dated June 6, 2006 (and English translation thereof) issued in a counterpart Japanese application;
- (2) Copies of cited publications; and
- (3) Form PTO/SB/08A.

An English translation of said Japanese Office Action is provided, thereby satisfying the requirements for a concise explanation of relevance for any non-English language publications cited therein (MPEP 609 III A(3)).

This paper is being submitted via EFS-Web on June 29, 2006

via 879-8eb on <u>home 29. 2000</u>
In the event that the Paper in late filed, and the necessary is not filed concurrently between the property of the property of

STATEMENT UNDER 37 CFR 1.97(e)(1)

Each item of information contained in this Information Disclosure Statement was first cited in any communication from foreign patent offices in counterpart foreign applications not more than three months prior to the filing of the present Information Disclosure Statement. Said Communication bears a mailing date of June 6, 2006. Therefore, the filing of this Information Disclosure Statement is timely under the provisions of 37 CFR 1,97(e) and does not require a fee.

STATEMENT UNDER 37 CFR 1.704(d)

Each item of information contained in this Information Disclosure Statement was cited in said communication from a foreign patent office in a counterpart application, and this communication was not received by any individual designated in \$1.56(c) more than thirty days prior to the filing of the present Information Disclosure Statement.

It is requested that an initialed copy of the Form PTO/SB/08A be returned to indicate that the publications listed therein have been considered and made of record.

Respectfully submitted
Leonard Holty, Esq.
Reg. No. 27,974

FRISHAUF HOLTZ GOODMAN & CHICK, P.C.

220 FIFTH AVENUE NEW YORK, N.Y. 10001-7708 Tel. No. (212) 319-4900 Fax No. (212) 319-5101

LH:nps

Please	type a p	lus sign (+) inside this	bax -	+	U.S. Patent and Tradem	F ark Office: U.S. DEPARTIN	TO/SB/08A (08	
Substitute for Form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT Sheet 1 of 1					Application Number		09/991,774		
					Filing Date		November 5, 2001		
					First Named Inventor		Shigenori MORIKAWA		
					Group Art	Unit	2667		
					Examiner	Name	Blanche Wong		
					-	ocket Number	01711/LH		
				_		T DOCUMENTS			_
Exam. Inita*	Cite	Docu	Document Number		Kind Code ²	Name of Patentee or Applicant	Publication Date	Relevant Portion	
	T	_		FUR		ENT DOCUMENTS			_
Exam inits	Cite No ¹	Offic ²	Docume	nt Number	Kind Code ⁵	Name of Patentee or Applicant	Publication Date	Relevant Portion	T ⁵
		JP	57-1591	53	A		10-01-1982		
		JP	11-1769	13	A		01-22-1999		
		JP	11-2726	1	A		01-29-1999		

Unkpace lation designation marker ² See linits of U.S. Palent Documents. ² Errar Office that issued the document by the his-fleter code (WPO Standard ST 3). ² For Japanese pattert occurrents, his indication.

of the year of the import in the Empirical must proceed the select incurrent of the pattert occurrent. ³ For document by the appropriate year process an obtained and on the document when the appropriate year looks are indicated on the document when the processing are such clark the efficient in interaction is attained in the processing area.